

SVENSK STANDARD

SS-EN ISO 11073-20601:2016



Fastställt/Approved: 2016-09-07
Publicerad/Published: 2016-09-20
Utgåva/Edition: 2
Språk/Language: engelska/English
ICS: 35.240.80

**Hälsa- och sjukvårdsinformatik – Kommunikation med personlig utrustning för hälsovården –
Del 20601: Applikationstyp – Optimerat protokoll för datautbyte
(ISO/IEEE 11073-20601:2016, including Cor 1:2016)**

**Health informatics – Personal health device communication –
Part 20601: Application profile – Optimized exchange protocol
(ISO/IEEE 11073-20601:2016, including Cor 1:2016)**

This preview is downloaded from www.sis.se. Buy the entire standard via <https://www.sis.se/std-8022304>

Standarder får världen att fungera

SIS (Swedish Standards Institute) är en fristående ideell förening med medlemmar från både privat och offentlig sektor. Vi är en del av det europeiska och globala nätverk som utarbetar internationella standarder. Standarder är dokumenterad kunskap utvecklad av framstående aktörer inom industri, näringsliv och samhälle och befrämjar handel över gränser, bidrar till att processer och produkter blir säkrare samt effektiviserar din verksamhet.

Delta och påverka

Som medlem i SIS har du möjlighet att påverka framtida standarder inom ditt område på nationell, europeisk och global nivå. Du får samtidigt tillgång till tidig information om utvecklingen inom din bransch.

Ta del av det färdiga arbetet

Vi erbjuder våra kunder allt som rör standarder och deras tillämpning. Hos oss kan du köpa alla publikationer du behöver – allt från enskilda standarder, tekniska rapporter och standardpaket till handböcker och onlinetjänster. Genom vår webbtjänst e-nav får du tillgång till ett lättnavigerat bibliotek där alla standarder som är aktuella för ditt företag finns tillgängliga. Standarder och handböcker är källor till kunskap. Vi säljer dem.

Utveckla din kompetens och lyckas bättre i ditt arbete

Hos SIS kan du gå öppna eller företagsinterna utbildningar kring innehåll och tillämpning av standarder. Genom vår närhet till den internationella utvecklingen och ISO får du rätt kunskap i rätt tid, direkt från källan. Med vår kunskap om standarders möjligheter hjälper vi våra kunder att skapa verklig nytta och lönsamhet i sina verksamheter.

Vill du veta mer om SIS eller hur standarder kan effektivisera din verksamhet är du välkommen in på www.sis.se eller ta kontakt med oss på tel 08-555 523 00.



Standards make the world go round

SIS (Swedish Standards Institute) is an independent non-profit organisation with members from both the private and public sectors. We are part of the European and global network that draws up international standards. Standards consist of documented knowledge developed by prominent actors within the industry, business world and society. They promote cross-border trade, they help to make processes and products safer and they streamline your organisation.

Take part and have influence

As a member of SIS you will have the possibility to participate in standardization activities on national, European and global level. The membership in SIS will give you the opportunity to influence future standards and gain access to early stage information about developments within your field.

Get to know the finished work

We offer our customers everything in connection with standards and their application. You can purchase all the publications you need from us - everything from individual standards, technical reports and standard packages through to manuals and online services. Our web service e-nav gives you access to an easy-to-navigate library where all standards that are relevant to your company are available. Standards and manuals are sources of knowledge. We sell them.

Increase understanding and improve perception

With SIS you can undergo either shared or in-house training in the content and application of standards. Thanks to our proximity to international development and ISO you receive the right knowledge at the right time, direct from the source. With our knowledge about the potential of standards, we assist our customers in creating tangible benefit and profitability in their organisations.

If you want to know more about SIS, or how standards can streamline your organisation, please visit www.sis.se or contact us on phone +46 (0)8-555 523 00



Europastandarden EN ISO 11073-20601:2016 gäller som svensk standard. Detta dokument innehåller den officiella engelska versionen av EN ISO 11073-20601:2016.

Denna standard ersätter SS-EN ISO 11073-20601:2011, utgåva 1.

The European Standard EN ISO 11073-20601:2016 has the status of a Swedish Standard. This document contains the official English version of EN ISO 11073-20601:2016.

This standard supersedes the Swedish Standard SS-EN ISO 11073-20601:2011, edition 1.

© Copyright/Upphovsrätten till denna produkt tillhör SIS, Swedish Standards Institute, Stockholm, Sverige. Användningen av denna produkt regleras av slutanvändarlicensen som återfinns i denna produkt, se standardens sista sidor.

© Copyright SIS, Swedish Standards Institute, Stockholm, Sweden. All rights reserved. The use of this product is governed by the end-user licence for this product. You will find the licence in the end of this document.

Upplysningar om sakinnehållet i standarden lämnas av SIS, Swedish Standards Institute, telefon 08-555 520 00. Standarder kan beställas hos SIS Förlag AB som även lämnar allmänna upplysningar om svensk och utländsk standard.

Information about the content of the standard is available from the Swedish Standards Institute (SIS), telephone +46 8 555 520 00. Standards may be ordered from SIS Förlag AB, who can also provide general information about Swedish and foreign standards.

Denna standard är framtagen av kommittén för Hälso- och sjukvårdsinformatik, SIS/TK 334.

Har du synpunkter på innehållet i den här standarden, vill du delta i ett kommande revideringsarbete eller vara med och ta fram andra standarder inom området? Gå in på www.sis.se - där hittar du mer information.

EUROPEAN STANDARD

EN ISO 11073-20601

EUROPÄISCHE NORM

August 2016

ICS 35.240.80

Supersedes EN ISO 11073-20601:2011

English Version

Health informatics - Personal health device
communication - Part 20601: Application profile -
Optimized exchange protocol (ISO/IEEE 11073-
20601:2016, including Cor 1:2016)

Informatique de santé - Communication entre
dispositifs de santé personnels - Partie 20601: Profil
d'application - Protocole d'échange optimisé (ISO/IEEE
11073-20601:2016, y compris Cor 1:2016)

Medizinische Informatik - Kommunikation von Geräten
für die persönliche Gesundheit - Teil 20601:
Anwendungsprofil - Optimiertes
Datenübertragungsprotokoll (ISO/IEEE 11073-
20601:2016, einschließlich Cor 1:2016)

This European Standard was approved by CEN on 21 February 2016.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CEN member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and United Kingdom.



EUROPEAN COMMITTEE FOR STANDARDIZATION
COMITÉ EUROPÉEN DE NORMALISATION
EUROPÄISCHES KOMITEE FÜR NORMUNG

CEN-CENELEC Management Centre: Avenue Marnix 17, B-1000 Brussels

Contents

1. Overview	1
1.1 Scope	1
1.2 Purpose	1
1.3 Context	2
2. Normative references.....	5
3. Definitions, acronyms, and abbreviations	5
3.1 Definitions	5
3.2 Acronyms and abbreviations	6
4. Guiding principles	7
5. Introduction to IEEE 11073 personal health devices.....	8
5.1 General	8
5.2 Domain information model (DIM)	9
5.3 Service model	9
5.4 Communication model	9
5.5 Compliance with other standards.....	9
5.6 Security.....	9
6. Personal health device DIM	10
6.1 General	10
6.2 Nomenclature usage	11
6.3 Personal health object class definitions	12
6.3.1 General.....	12
6.3.2 MDS class	14
6.3.3 Metric class.....	22
6.3.4 Numeric class.....	28
6.3.5 RT-SA class	31
6.3.6 Enumeration class	33
6.3.7 PM-store class.....	35
6.3.8 PM-segment class	41
6.3.9 Scanner classes.....	46
6.4 Information model extensibility rules.....	57
7. Personal health device service model.....	58
7.1 General	58
7.2 Association service	58
7.3 Object access services.....	58
7.4 Specific application of object access EVENT REPORT services for personal health devices.....	59
7.4.1 General.....	59
7.4.2 Confirmed and unconfirmed event reports.....	59
7.4.3 Configuration event report	59
7.4.4 Agent- and manager-initiated measurement data transmission.....	63
7.4.5 Variable, fixed, and grouped format event reports.....	64
7.4.6 Single-person and multiple-person event reports.....	65

7.4.7 Temporarily stored measurements	66
8. Communication model	66
8.1 General	66
8.2 System context.....	67
8.3 Communications characteristics	68
8.3.1 General.....	68
8.3.2 Common communications characteristics.....	69
8.3.3 Reliable communications characteristics	70
8.3.4 Best-effort communications characteristics	70
8.4 State machines	71
8.4.1 Agent state machine.....	71
8.4.2 Manager state machine.....	74
8.4.3 Timeout variables.....	75
8.5 Connected procedure	76
8.5.1 General.....	76
8.5.2 Entry conditions	76
8.5.3 Normal procedures.....	76
8.5.4 Exit conditions	77
8.5.5 Error conditions	77
8.6 Unassociated procedure	77
8.6.1 General.....	77
8.6.2 Entry conditions	77
8.6.3 Normal procedures.....	77
8.6.4 Exit conditions	77
8.6.5 Error conditions	77
8.7 Associating procedure	78
8.7.1 General.....	78
8.7.2 Entry conditions	78
8.7.3 Normal procedures.....	78
8.7.4 Exit conditions	82
8.7.5 Error conditions	82
8.7.6 Test association	83
8.8 Configuring procedure.....	84
8.8.1 General.....	84
8.8.2 Entry conditions	84
8.8.3 Normal procedures.....	84
8.8.4 Exit conditions	87
8.8.5 Error conditions	88
8.9 Operating procedure	88
8.9.1 General.....	88
8.9.2 Entry conditions	88
8.9.3 Normal procedures.....	88
8.9.4 Exit conditions	100
8.9.5 Error conditions	101
8.10 Disassociating procedure	102
8.10.1 General.....	102
8.10.2 Entry conditions	102
8.10.3 Normal procedures.....	103
8.10.4 Exit conditions	103
8.10.5 Error conditions	103
8.11 Message encoding.....	103
8.12 Time coordination.....	104
8.12.1 General.....	104
8.12.2 Absolute time	104

8.12.3 Base time with offset.....	106
8.12.4 Relative time	106
8.12.5 High-resolution relative time	107
9. Conformance model	108
9.1 Applicability	108
9.2 Conformance specification	108
9.3 Implementation conformance statements (ICSs)	109
9.4 General conformance.....	109
9.4.1 General ICS.....	109
9.4.2 Minimum requirements ICS.....	111
9.4.3 Service support ICS	112
9.5 Device additions/extensions ICS	113
9.5.1 General additions/extensions ICS	113
9.5.2 Personal health device DIM object and class (POC) ICS	114
9.5.3 POC attribute ICS	114
9.5.4 POC behavior ICS.....	115
9.5.5 POC notification ICS	115
9.5.6 POC nomenclature ICS.....	116
Annex A (normative) ASN.1 definitions.....	117
Annex B (informative) Scale and range specification example.....	151
Annex C (informative) The PM-store concept	153
Annex D (informative) Transport profile types.....	158
Annex E (normative) State tables	161
Annex F (normative) Medical device encoding rules (MDER).....	181
Annex G (informative) Encoded data type definitions	193
Annex H (informative) Examples.....	213
Annex I (normative) Nomenclature codes.....	228
Annex J (informative) Derivation and modification history.....	233
Annex K (informative) Bibliography	236

European foreword

This document (EN ISO 11073-20601:2016 including Cor 1:2016) has been prepared by Technical Committee ISO/TC 215 “Health informatics” in collaboration with Technical Committee CEN/TC 251 “Health informatics” the secretariat of which is held by NEN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by February 2017, and conflicting national standards shall be withdrawn at the latest by February 2017.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

This document supersedes EN ISO 11073-20601:2011.

According to the CEN-CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

Endorsement notice

The text of ISO/IEEE 11073-20601:2016 including Cor 1:2016 has been approved by CEN as EN ISO 11073-20601:2016 without any modification.

Important Notices and Disclaimers Concerning IEEE Standards Documents

IEEE documents are made available for use subject to important notices and legal disclaimers. These notices and disclaimers, or a reference to this page, appear in all standards and may be found under the heading “Important Notice” or “Important Notices and Disclaimers Concerning IEEE Standards Documents.”

Notice and Disclaimer of Liability Concerning the Use of IEEE Standards Documents

IEEE Standards documents (standards, recommended practices, and guides), both full-use and trial-use, are developed within IEEE Societies and the Standards Coordinating Committees of the IEEE Standards Association (“IEEE-SA”) Standards Board. IEEE (“the Institute”) develops its standards through a consensus development process, approved by the American National Standards Institute (“ANSI”), which brings together volunteers representing varied viewpoints and interests to achieve the final product. Volunteers are not necessarily members of the Institute and participate without compensation from IEEE. While IEEE administers the process and establishes rules to promote fairness in the consensus development process, IEEE does not independently evaluate, test, or verify the accuracy of any of the information or the soundness of any judgments contained in its standards.

IEEE does not warrant or represent the accuracy or content of the material contained in its standards, and expressly disclaims all warranties (express, implied and statutory) not included in this or any other document relating to the standard, including, but not limited to, the warranties of: merchantability; fitness for a particular purpose; non-infringement; and quality, accuracy, effectiveness, currency, or completeness of material. In addition, IEEE disclaims any and all conditions relating to: results; and workmanlike effort. IEEE standards documents are supplied “AS IS” and “WITH ALL FAULTS.”

Use of an IEEE standard is wholly voluntary. The existence of an IEEE standard does not imply that there are no other ways to produce, test, measure, purchase, market, or provide other goods and services related to the scope of the IEEE standard. Furthermore, the viewpoint expressed at the time a standard is approved and issued is subject to change brought about through developments in the state of the art and comments received from users of the standard.

In publishing and making its standards available, IEEE is not suggesting or rendering professional or other services for, or on behalf of, any person or entity nor is IEEE undertaking to perform any duty owed by any other person or entity to another. Any person utilizing any IEEE Standards document, should rely upon his or her own independent judgment in the exercise of reasonable care in any given circumstances or, as appropriate, seek the advice of a competent professional in determining the appropriateness of a given IEEE standard.

IN NO EVENT SHALL IEEE BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO: PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE PUBLICATION, USE OF, OR RELIANCE UPON ANY STANDARD, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE AND REGARDLESS OF WHETHER SUCH DAMAGE WAS FORESEEABLE.

Translations

The IEEE consensus development process involves the review of documents in English only. In the event that an IEEE standard is translated, only the English version published by IEEE should be considered the approved IEEE standard.

Official statements

A statement, written or oral, that is not processed in accordance with the IEEE-SA Standards Board Operations Manual shall not be considered or inferred to be the official position of IEEE or any of its committees and shall not be considered to be, or be relied on as, a formal position of IEEE. At lectures, symposia, seminars, or educational courses, an individual presenting information on IEEE standards shall make it clear that his or her views should be considered the personal views of that individual rather than the formal position of IEEE.

Comments on standards

Comments for revision of IEEE Standards documents are welcome from any interested party, regardless of membership affiliation with IEEE. However, IEEE does not provide consulting information or advice pertaining to IEEE Standards documents. Suggestions for changes in documents should be in the form of a proposed change of text, together with appropriate supporting comments. Since IEEE standards represent a consensus of concerned interests, it is important that any responses to comments and questions also receive the concurrence of a balance of interests. For this reason, IEEE and the members of its societies and Standards Coordinating Committees are not able to provide an instant response to comments or questions except in those cases where the matter has previously been addressed. For the same reason, IEEE does not respond to interpretation requests. Any person who would like to participate in revisions to an IEEE standard is welcome to join the relevant IEEE working group.

Comments on standards should be submitted to the following address:

Secretary, IEEE-SA Standards Board
445 Hoes Lane
Piscataway, NJ 08854 USA

Laws and regulations

Users of IEEE Standards documents should consult all applicable laws and regulations. Compliance with the provisions of any IEEE Standards document does not imply compliance to any applicable regulatory requirements. Implementers of the standard are responsible for observing or referring to the applicable regulatory requirements. IEEE does not, by the publication of its standards, intend to urge action that is not in compliance with applicable laws, and these documents may not be construed as doing so.

Copyrights

IEEE draft and approved standards are copyrighted by IEEE under U.S. and international copyright laws. They are made available by IEEE and are adopted for a wide variety of both public and private uses. These include both use, by reference, in laws and regulations, and use in private self-regulation, standardization, and the promotion of engineering practices and methods. By making these documents available for use and adoption by public authorities and private users, IEEE does not waive any rights in copyright to the documents.

Photocopies

Subject to payment of the appropriate fee, IEEE will grant users a limited, non-exclusive license to photocopy portions of any individual standard for company or organizational internal use or individual, non-commercial use only. To arrange for payment of licensing fees, please contact Copyright Clearance Center, Customer Service, 222 Rosewood Drive, Danvers, MA 01923 USA; +1 978 750 8400. Permission to photocopy portions of any individual standard for educational classroom use can also be obtained through the Copyright Clearance Center.

Updating of IEEE Standards documents

Users of IEEE Standards documents should be aware that these documents may be superseded at any time by the issuance of new editions or may be amended from time to time through the issuance of amendments, corrigenda, or errata. An official IEEE document at any point in time consists of the current edition of the document together with any amendments, corrigenda, or errata then in effect.

Every IEEE standard is subjected to review at least every ten years. When a document is more than ten years old and has not undergone a revision process, it is reasonable to conclude that its contents, although still of some value, do not wholly reflect the present state of the art. Users are cautioned to check to determine that they have the latest edition of any IEEE standard.

In order to determine whether a given document is the current edition and whether it has been amended through the issuance of amendments, corrigenda, or errata, visit the IEEE-SA Website at <http://ieeexplore.ieee.org/xpl/standards.jsp> or contact IEEE at the address listed previously. For more information about the IEEE-SA or IEEE's standards development process, visit the IEEE-SA Website at <http://standards.ieee.org>.

Errata

Errata, if any, for all IEEE standards can be accessed on the IEEE-SA Website at the following URL: <http://standards.ieee.org/findstds/errata/index.html>. Users are encouraged to check this URL for errata periodically.

Patents

Attention is called to the possibility that implementation of this standard may require use of subject matter covered by patent rights. By publication of this standard, no position is taken by the IEEE with respect to the existence or validity of any patent rights in connection therewith. If a patent holder or patent applicant has filed a statement of assurance via an Accepted Letter of Assurance, then the statement is listed on the IEEE-SA Website at <http://standards.ieee.org/about/sasb/patcom/patents.html>. Letters of Assurance may indicate whether the Submitter is willing or unwilling to grant licenses under patent rights without compensation or under reasonable rates, with reasonable terms and conditions that are demonstrably free of any unfair discrimination to applicants desiring to obtain such licenses.

Essential Patent Claims may exist for which a Letter of Assurance has not been received. The IEEE is not responsible for identifying Essential Patent Claims for which a license may be required, for conducting inquiries into the legal validity or scope of Patent Claims, or determining whether any licensing terms or conditions provided in connection with submission of a Letter of Assurance, if any, or in any licensing agreements are reasonable or non-discriminatory. Users of this standard are expressly advised that determination of the validity of any patent rights, and the risk of infringement of such rights, is entirely their own responsibility. Further information may be obtained from the IEEE Standards Association.

Participants

At the time this standard was submitted to the IEEE-SA Standards Board for approval, the Personal Health Devices Working Group had the following membership:

Daidi Zhong, *Co-Chair*
 Michael J. Kirwan, *Co-Chair*
 Douglas P. Bogia, *Co-Chair*

Charles R. Abbruscato	Jinhan Chung	Raul Gonzalez Gomez
Nabil Abujbara	Malcolm Clarke	Chris Gough
Maher Abuzaid	John A. Cogan	Channa Gowda
Manfred Aigner	John T. Collins	Charles M. Gropper
Jorge Alberola	Cory Condek	Amit Gupta
Karsten Alders	Todd H. Cooper	Jeff Guttmacher
Murtaza Ali	David Cornejo	Rasmus Haahr
Rolf Ambuehl	Douglas Coup	Christian Habermann
David Aparisi	Nigel Cox	Michael Hagerty
Lawrence Arne	Hans Crommenacker	Jerry Hahn
Diego B. Arquillo	Tomio Crosley	Robert Hall
Serafin Arroyo	David Culp	Nathaniel Hamming
Muhammad Asim	Allen Curtis	Rickey L. Hampton
Merat Bagha	Ndifor Cyril Fru	Sten Hanke
Doug Baird	Jesús Daniel Trigo	Jordan Hartmann
David Baker	Eyal Dassau	Kai Hassing
Anindya Bakshi	David Davenport	Marc Daniel Haunschild
Ananth Balasubramanian	Russell Davis	Wolfgang Heck
Sunlee Bang	Ed Day	Charles Henderson
M. Jonathan Barkley	Sushil K. Deka	Jun-Ho Her
Gilberto Barrón	Pedro de-las-Heras-Quiros	Takashi Hibino
David Bean	Jim DelloStitto	Timothy L. Hirou
John Bell	Matthew d'Entremont	Allen Hobbs
Rudy Belliardi	Lane Desborough	Alex Holland
Kathryn M. Bennett	Kent Dicks	Arto Holopainen
Daniel Bernstein	Hyoungdo Do	Robert Hoy
George A. Bertos	Xiaolian Duan	Frank Hsu
Chris Biernacki	Brian Dubreuil	Anne Huang
Ola Björnsne	Jakob Ehrensvar	Sen-Der Huang
Thomas Blackadar	Fredrik Einberg	Zhiqiang Huang
Marc Blanchet	Roger M. Ellingson	Ron Huby
Thomas Bluethner	Michihiro Enokida	Robert D. Hughes
Xavier Boniface	Javier Escayola Calvo	David Hughes
Shannon Boucousis	Leonardo Estevez	Jiyoung Huh
Julius Broma	Roger Feeley	Hugh Hunter
Lyle G. Bullock	Bosco T. Fernandes	Hitoshi Ikeda
Bernard Burg	Christoph Fischer	Yutaka Ikeda
Chris Burns	Morten Flintrup	Philip O. Isaacson
Anthony Butt	Joseph W. Forler	Atsushi Ito
Jeremy Byford-Rew	Russell Foster	Michael Jaffe
Satya Calloji	Eric Freudenthal	Praduman Jain
Carole C. Carey	Matthias Frohner	Danny Jochelson
Santiago Carot-Nemesio	Ken Fuchs	Chris Johnson
Randy W. Carroll	Jing Gao	Phaneeth Junga
Simon Carter	Marcus Garbe	Akiyoshi Kabe
Seungchul Chae	John Garguilo	Steve Kahle
Rahul Chauhan	Rick Geimer	Tomio Kamioka
James Cheng	Igor Gejdos	Kei Kariya
Peggy Chien	Ferenc Gerbovics	Andy Kaschl
Chia-Chin Chong	Nicolae Goga	Junzo Kashiwara
Saeed A. Choudhary	Julian Goldman	Kohichi Kashiwagi

Ralph Kent
 Laurie M. Kermes
 Ikuo Keshi
 Junhyung Kim
 Min-Joon Kim
 Minh Kim
 Taekon Kim
 Tetsuya Kimura
 Alfred Kloos
 Jeongmee Koh
 Jean-Marc Koller
 John Koon
 Patty Krantz
 Alexander Kraus
 Ramesh Krishna
 Geoffrey Kruse
 Falko Kuester
 Rafael Lajara
 Pierre Landau
 Jaechul Lee
 JongMuk Lee
 Kyong Ho Lee
 Rami Lee
 Sungkee Lee
 Woojae Lee
 Yonghee Lee
 Joe Lenart
 Kathryn A. Lesh
 Qiong Li
 Ying Li
 Patrick Lichter
 Jisoon Lim
 Joon-Ho Lim
 John Lin
 Jiajia Liu
 Wei-Jung Lo
 Charles Lowe
 Don Ludolph
 Christian Luszick
 Bob MacWilliams
 Srikanth Madhurbotheswaran
 Romain Marmot
 Sandra Martinez
 Miguel Martínez de Espronceda
 Cámara
 Peter Mayhew
 Jim McCain
 László Meleg
 Alexander Mense
 Ethan Metsger
 Yu Miao
 Jinsei Miyazaki
 Erik Moll
 Darr Moore
 Piotr Murawski
 Soundharya Nagasubramanian
 Jae-Wook Nah
 Alex Neefus
 Trong-Nghia Nguyen-Dobinsky
 Michael E. Nidd
 Tetsu Nishimura

Jim Niswander
 Hiroaki Niwamoto
 Thomas Norgall
 Anand Noubade
 Yoshiteru Nozoe
 Abraham Ofek
 Brett Olive
 Begonya Otal
 Charles Palmer
 Bud Panjwani
 Carl Pantiskas
 Harry P. Pappas
 Mikey Paradis
 Hanna Park
 Jong-Tae Park
 Myungeun Park
 Soojun Park
 Phillip E. Pash
 TongBi Pei
 Soren Petersen
 James Petisce
 Peter Piction
 Michael Pliskin
 Jeff Price
 Harald Prinzhorn
 John Quinlan
 Arif Rahman
 Tanzilur Rahman
 Steve Ray
 Phillip Raymond
 Tim Reilly
 Barry Reinhold
 Brian Reinhold
 Melvin I. Reynolds
 John G. Rhoads
 Jeffrey S. Robbins
 Moskowitz Robert
 Timothy Robertson
 David Rosales
 Bill Saltzstein
 Benedikt Salzbrunn
 Giovanna Sannino
 Jose A. Santos-Cadenas
 Stefan Sauerermann
 John Sawyer
 Guillaume Schatz
 Alois Schloegl
 Paul S. Schluter
 Lars Schmitt
 Mark G. Schnell
 Richard A. Schrenker
 Antonio Scorpiniti
 Kwang Seok Seo
 Riccardo Serafin
 Sid Shaw
 Frank Shen
 Liqun Shen
 Bozhi Shi
 Min Shih
 Mazen Shihabi
 Redmond Shouldice

Sternly K. Simon
 Marjorie Skubic
 Robert Smith
 Ivan Soh
 Motoki Sone
 Emily Sopenisky
 Rajagopalan Srinivasan
 Andreas Staubert
 Nicholas Steblay
 Beth Stephen
 Lars Steubesand
 John (Ivo) Stivoric
 Raymond A. Strickland
 Hermanni Suominen
 Lee Surprenant
 Ravi Swami
 Ray Sweidan
 Jin Tan
 Haruyuyki Tatsumi
 John W. Thomas
 Brad Tipler
 Jonas Tirén
 James Tomcik
 Janet Traub
 Gary Tschautscher
 Masato Tsuchid
 Ken Tubman
 Yoshihiro Uchida
 Sunil Unadkat
 Fabio Urbani
 Philipp Urbauer
 Laura Vanzago
 Alpo Värri
 Ciro de la Vega
 Dalimar Velez
 Naveen Verma
 Rudi Voon
 Isobel Walker
 David Wang
 Jerry P. Wang
 Yao Wang
 Yi Wang
 Steve Warren
 Fujio Watanabe
 Toru Watsuji
 Mike Weng
 Kathleen Wible
 Paul Williamson
 Jan Wittenber
 Jia-Rong Wu
 Will Wykeham
 Ariton Xhafa
 Junjie Yang
 Ricky Yang
 Melanie Yeung
 Done-Sik Yoo
 Jason Zhang
 Zhiqiang Zhang
 Thomas Zhao
 Miha Zoubek
 Szymon Zysko

The following members of the balloting committee voted on this standard. Balloters may have voted for approval, disapproval, or abstention.

Hector Barron Gonzalez
Pieter Botman
Lyle G. Bullock
Juan Carreon
Randy W. Carroll
Lawrence Catchpole
Jianwen Chen
Keith Chow
Donald Cragun
Paul Croll
Russell Davis
Douglas Dorr
Sourav Dutta
Christoph Fischer

David Friscia
David Fuschi
Randall Groves
Kai Hassing
Werner Hoelzl
Ruimin Hu
Noriyuki Ikeuchi
Akio Iso
Atsushi Ito
Raj Jain
Junghoon Jee
Piotr Karocki
Stuart Kerry
Geoff Ladwig
Richard Lancaster

Charles Ngethe
Melvin I. Reynolds
Terence Rout
Bartien Sayogo
Lars Schmitt
Carl Singer
Kapil Sood
Raymond A. Strickland
Walter Struppler
Jiande Sun
Hung-Yu Wei
Jan Wittenber
Oren Yuen
Daidi Zhong

When the IEEE-SA Standards Board approved this standard on 21 August 2014, it had the following membership:

John Kulick, *Chair*
Jon Walter Rosdahl, *Vice-chair*
Richard H. Hulett, *Past Chair*
Konstantinos Karachalios, *Secretary*

Peter Balma
Farooq Bari
Ted Burse
Clint Chaplain
Stephen Dukes
Jean-Phillippe Faure
Gary Hoffman

Michael Janezic
Jeffrey Katz
Joseph L. Koepfinger*
David Law
Hung Ling
Oleg Logvinov
T. W. Olsen
Glenn Parsons

Ron Peterson
Adrian Stephens
Peter Sutherland
Yatin Trivedi
Phil Winston
Don Wright
Yu Yuan

*Member Emeritus

Also included are the following nonvoting IEEE-SA Standards Board liaisons:

Richard DeBlasio, *DOE Representative*
Michael Janezic, *NIST Representative*

Don Messina
IEEE-SA Content Publishing

Kathryn Bennett
IEEE-SA Technical Community Programs

Introduction

This introduction is not part of IEEE Std 11073-20601-2014, Health informatics—Personal health device communication—Part 20601: Application profile—Optimized Exchange Protocol.

ISO and IEEE 11073 standards enable communication between medical devices and external computer systems. This standard and corresponding IEEE 11073-104zz standards address a need for a simplified and optimized communication approach for personal health devices, which may or may not be regulated devices. These standards align with, and draw upon, the existing clinically focused standards to provide easy management of data from either a clinical or personal health device.

This document addresses a need for an openly defined, independent standard for converting the collected information into an interoperable transmission format so the information can be exchanged between agents and managers.

Other closely related standards include the following:

- IEEE Std 11073-00103-2012 [B5]^a provides an overview of the personal health space and defines the underlying use cases and usage models.
- ISO/IEEE 11073-10101 [B16] documents the nomenclature terms that can be used.
- ISO/IEEE 11073-10201:2004 [B17] documents the extensive domain information model (DIM) leveraged by this standard.
- ISO/IEEE 11073-104zz standards define specific device specializations. For example, ISO/IEEE 11073-10404 [B18] defines how interoperable pulse oximeters work.
- ISO/IEEE 11073-20101:2004 [B21] defines the medical device encoding rules (MDER) used in this standard.

^a The numbers in brackets correspond to the numbers of the bibliography in Annex K.

Health informatics—Personal health device communication

Part 20601: Application profile— Optimized Exchange Protocol

IMPORTANT NOTICE: IEEE Standards documents are not intended to ensure safety, security, health, or environmental protection, or ensure against interference with or from other devices or networks. Implementers of IEEE Standards documents are responsible for determining and complying with all appropriate safety, security, environmental, health, and interference protection practices and all applicable laws and regulations.

This IEEE document is made available for use subject to important notices and legal disclaimers. These notices and disclaimers appear in all publications containing this document and may be found under the heading “Important Notice” or “Important Notices and Disclaimers Concerning IEEE Documents.” They can also be obtained on request from IEEE or viewed at <http://standards.ieee.org/IPR/disclaimers.html>.

1. Overview

1.1 Scope

Within the context of the ISO/IEEE 11073 personal health device standard family, this standard defines an optimized exchange protocol and modeling techniques to be used by implementers of personal health devices to create interoperability between device types and vendors. This standard establishes a common framework for an abstract model of personal health data available in transport-independent transfer syntax required to establish logical connections between systems and to provide presentation capabilities and services needed to perform communication tasks. The protocol is optimized to personal health usage requirements and leverages commonly used methods and tools wherever possible.

1.2 Purpose

This standard addresses a need for an openly defined, independent standard for controlling information exchange to and from personal health devices and managers (e.g., cell phones, personal computers, personal health appliances, and set top boxes). Interoperability is key to growing the potential market for these devices and enabling people to be better informed participants in the management of their health.

1.3 Context

Figure 1 shows categories and typical devices supporting the personal health space. Agents (e.g., blood pressure monitors, weighing scales, and pedometers) collect information about a person (or persons) and transfer the information to a manager (e.g., cell phone, health appliance, or personal computer) for collection, display, and possible later transmission. The manager may also forward the data to remote support services for further analysis. The information is available from a range of domains including disease management, health and fitness, or aging independently applications.

The communication path between agent and manager is assumed to be a logical point-to-point connection. Generally, an agent communicates with a single manager at any point in time. A manager may communicate with multiple agents simultaneously using separate point-to-point connections.

The overlay shows the focus area of the IEEE 11073™ Personal Health Devices Working Group. The primary concentration is the interface and data exchange between the agents and manager. However, this interface cannot be created in isolation by ignoring the remainder of the solution space. Remaining cognizant of the entire system helps to move data reasonably from the agents all the way to the remote support services when necessary. This path may include converting the data format, exchange protocols, and transport protocols across different interfaces. Much of the standardization effort is outside of the scope of the Personal Health Devices Working Group; however, aligning all standardization efforts allows data to flow seamlessly through the overall set of systems.

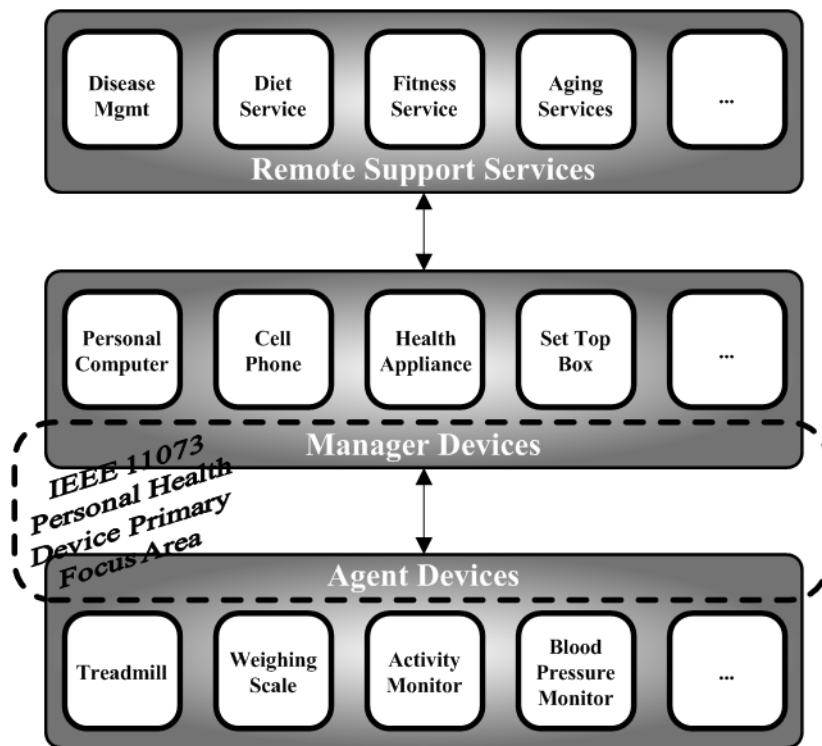


Figure 1—Overall context of work

Figure 2 shows a hierarchical view of the architecture of an agent or manager superimposed with a view of the related standards. The application layers are, for the most part, not specific to any particular transport. Where necessary, this standard identifies assumptions that require direct support by a transport or a “shim” layer above the transport. This approach allows support for various transports. The definition of the transports is outside of the scope of this standard and the working group.

Above the transport layer is the Optimized Exchange Protocol (described in this standard). This protocol consists of two aspects: the application layer services and the definition of the data exchange protocol between agents and managers. The application layer services provide the protocol for connection management and reliable transfer of actions and data between agent and manager. The data exchange protocol defines the commands, agent configuration information, data format, and overall protocol. The Optimized Exchange Protocol provides the basis to support any type of agent. For a specific device type, the reader is directed to the device specialization for that agent to understand the capabilities of the device and its implementation according to this standard. The device specialization indicates which aspects of this standard to comprehend and where further information to implement the device is found.

Above the exchange protocol are device specializations that describe specific details relative to the particular agent (e.g., blood pressure monitor, weighing scale, or pedometer). The specializations describe the details of how these agents work and act as a detailed description for creating a specific type of agent. Additionally, they provide reference to a related standard for further details. The standard numbers reserved for device specializations range from IEEE Std 11073-10401 through IEEE Std 11073-10499, inclusive. When the collection of standards is being referenced, the term *IEEE 11073-104zz* is used where *zz* could be any number in the range from 01 to 99, inclusive.

Some device specializations describe broad categories of device types (e.g., the IEEE 11073-10441™ model device types that promote cardiovascular activity such as step counters or exercise cycles). Other device specializations have a narrow focus on a single device type (e.g., IEEE 11073-10408™ model thermometers). Specializations that address one or more device types may also define *profiles*. A profile further constrains the model defined in a specialization to increase interoperability (e.g., the step counter profile utilizes a limited portion of IEEE 11073-10441 modeling).

The IEEE Std 11073-00103-2012 [B5]¹ technical report describes the overall personal health space with further definition of the underlying use cases and usage models.

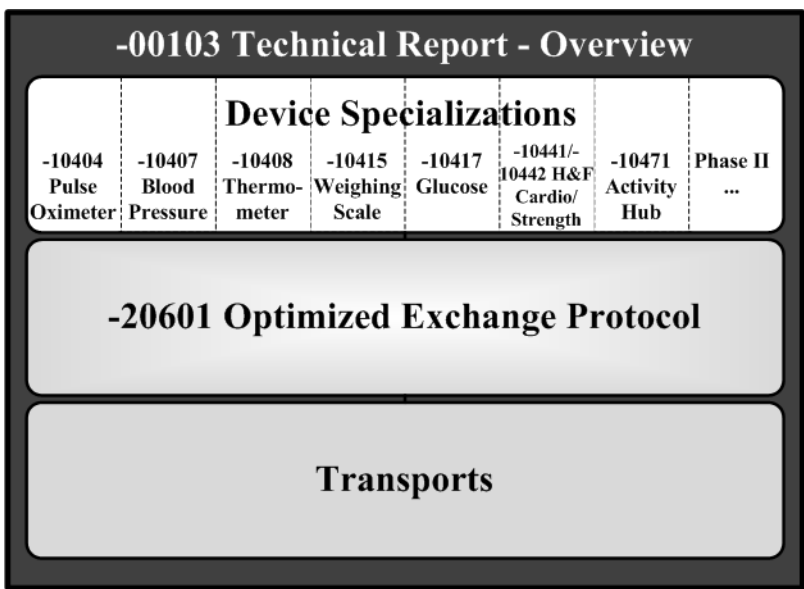


Figure 2—Document map

¹ The numbers in brackets correspond to the numbers of the bibliography in Annex K.